

# On Efficient Connectivity-Preserving Transformations in a Grid<sup>☆</sup>

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## Abstract

We consider a discrete system of  $n$  devices lying on a 2-dimensional square grid and forming an initial connected shape  $S_I$ . Each device is equipped with a linear-strength mechanism which enables it to move a whole line of consecutive devices in a single time-step, called a *line move*. We study the problem of transforming  $S_I$  into a given connected target shape  $S_F$  of the same number of devices, via a finite sequence of line moves. Our focus is on designing *centralised* transformations aiming at *minimising the total number of moves* subject to the constraint of *preserving connectivity* of the shape throughout the course of the transformation. We first give very fast connectivity-preserving transformations for the case in which the *associated graphs* of  $S_I$  and  $S_F$  contain a Hamiltonian path. In particular, our transformations make  $O(n \log n)$  moves, which is asymptotically equal to the best known running time of connectivity-breaking transformations. Our most general result is then a connectivity-preserving *universal transformation* that can transform any initial connected shape  $S_I$  into any target connected shape  $S_F$ , through a sequence of  $O(n\sqrt{n})$  moves.

### Keywords:

Line movement, Discrete transformations, Shape formation, Reconfigurable robotics, Time complexity, Programmable matter

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## 1. Introduction

Over the past few years, many fascinating systems have been developed, leveraging advanced technology in order to deploy large collections of tiny monads. Each monad is typically a highly restricted micro-robotic entity, equipped with a microcontroller and some actuation and sensing capabilities. Through its collaborative complexity, the collection of monads can carry out tasks which are well beyond the capabilities of individual monads. The vision is the development of materials that will be able to algorithmically change their physical properties, such as their shape, colour, conductivity and density, based on transformations executed by an underlying program. These efforts are currently shaping the research area of *programmable matter*, which has attracted much theoretical and practical interest.

The implementation indicates whether the monads are operated centrally or through local decentralised control. In *centralised* systems, there is an external program which globally controls all monads with full knowledge of the entire system. On the other hand, *decentralised* systems provide each individual monad with enough autonomy to communicate with its neighbours and move locally. There are an impressive number of recent developments for collective robotic systems, demonstrating their potential and feasibility, starting from the scale of milli or micro [2, 3, 4, 5] down to nano size of individual monads [6, 7].

Recent research has highlighted the need for the development of an algorithmic theory of such systems. An apparent lack of a formal theoretical study of this prospective, including modelling, possibilities/limitations,

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<sup>☆</sup>A preliminary version of the results in this paper has appeared in [1].

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algorithms and complexity has been emphasised in, e.g., [8] and [9]. The development of a formal theory is a crucial step for further progress in those systems. Consequently, multiple theoretical computer science sub-fields have appeared, such as metamorphic systems [10, 11, 12], mobile robotics [13, 14, 15, 16, 17], reconfigurable robotics [18, 19, 20, 21, 22], passively-mobile systems [23, 24, 25, 8], DNA self-assembly [26, 27, 28, 29], and the latest emerging sub-area of “Algorithmic Foundations of Programmable Matter” [30].

Consider a system deployed on a two-dimensional square grid in which a collection of spherical devices are typically connected to each other, forming a shape  $S_I$ . By a finite number of valid individual moves,  $S_I$  can be transformed into a desired target shape  $S_F$ . In this perspective, a number of models are designed and introduced in the literature for such systems. For example, Dumitrescu and Pach [31], Dumitrescu *et al.* [32, 10] and Michail *et al.* [9] consider mechanisms where an individual device is capable to move over and turn around its neighbours through empty space. Transformations based on similar moves being assisted by small seeds, have also been considered in [33].

A new linear-strength mechanism was introduced by Almethen *et al.* in [34], where a whole line of consecutive devices can, in a single time-step, move by one position in a given direction. That model comes as a natural generalisation of other existing models of reconfiguration with a particular focus on exploiting the power of parallelism for fast global reconfiguration. Apart from the pure theoretical interest of exploring fast transformations on a grid, this model also provides a practical framework for efficient reconfigurations of real systems. For example, this framework could be applied to reconfigurable robotic systems in which the individual devices are equipped with linear-strength locomotion mechanisms.

Since any two shapes with an equal number of elements can be transformed into each other with line moves [34], the central question remains about understanding the bounds on reachability distances between different shapes (configurations) via line moves. Proving exact reachability bounds can influence the design and analysis of both centralised and distributed algorithms. Our hypothesis is that the reachability distances between any two shapes with  $n$  elements can be bounded by  $O(n \log n)$ , and the bound cannot be improved for a simple pair of shapes such as diagonal and horizontal lines.

In this paper, we embark from the *line-pushing* model of [34], which provided sub-quadratic centralised transformations that may, though, arbitrarily break connectivity of the shape during their course. As our main goal is to investigate the power of the line-pushing model, we focus solely on centralised transformations, as a first step. That is because distributed are model-dependent (e.g., knowledge, communication, etc.), while centralised show what is *in principle* possible. Moreover, some of the ideas in centralised might prove useful for distributed and of course lower bounds also transfer to the distributed case. The only connectivity-preserving transformation in [34] was an  $O(n\sqrt{n})$ -time transformation for a single pair of shapes of order  $n$ , namely from a diagonal into a straight line. All transformations that we provide in the present study preserve connectivity of the shape during the transformation.

We first give very fast connectivity-preserving transformations for the case in which the *associated graphs* of  $S_I$  and  $S_F$  contain a Hamiltonian path. In particular, our transformations make  $O(n \log n)$  moves, which is asymptotically equal to the best known running time of connectivity-breaking transformations. Our most general result is then a connectivity-preserving *universal transformation* that can transform any initial connected shape  $S_I$  into any target connected shape  $S_F$ , through a sequence of  $O(n\sqrt{n})$  moves.

### 1.1. Related Work

For the models of individual moves where only one node moves in a single time-step, [31, 9] show universality of transforming any pair of connected shapes  $(A, B)$  having the same number of devices (called *nodes* throughout this paper) to each other via sliding and rotation mechanisms. By allowing only rotation, [9] proves that the problem of deciding transformability is in  $\mathbf{P}$ . It can be shown that in all models of constant-distance individual moves,  $\Omega(n^2)$  moves are required to transform some pairs of connected shapes, due the inherent distance between them [9]. This motivates the study of alternative types of moves that are reasonable with respect to practical implementations and allow for sub-quadratic reconfiguration time in the worst case.

There are attempts in the literature to provide alternatives for more efficient reconfiguration. The first main approach is to explore parallel transformations, where multiple nodes move together in a single time-step. This is a natural step to tackle such a problem, especially in distributed systems where nodes can make independent decisions and move locally in parallel to other nodes. There are a number of theoretical studies on parallel and distributed transformations [20, 35, 16, 10, 9, 36] as well as practical implementations [5]. For example, it can be shown that a connected shape can transform into any other connected shape, by performing in the worst case  $O(n)$  parallel moves around the perimeter of the shape [9].

The second approach aims to equip nodes in the system with a more powerful mechanism which enables them to reduce the inherent distance by a factor greater than a constant in a single time-step. There are a number of models in the literature in which individual nodes are equipped with strong actuation mechanisms, such as linear-strength mechanisms. Aloupis *et al.* [18, 37] provide a node with arms that are capable to extend and contract a neighbour, a subset of the nodes or even the whole shape as a consequence of such an operation. Further, Woods *et al.* [29] proposed an alternative linear-strength mechanism, where a node has the ability to rotate a whole line of consecutive nodes.

Recently, the *line-pushing* model of [34] follows a similar approach in which a single node can move a whole line of consecutive nodes by simultaneously (i.e., in a single time-step) pushing them towards an empty position. The line-pushing model can simulate the rotation and sliding based transformations of [31, 9] with at most a 2-factor increase in their worst-case running time. This implies that all transformations established for individual nodes, transfer in the line-pushing model and their universality and reversibility properties still hold true. They achieved sub-quadratic time transformations, including an  $O(n \log n)$ -time universal transformation which does not preserve connectivity and a connectivity-preserving  $O(n\sqrt{n})$ -time transformation for the special case of transforming a diagonal into a straight line.

Another relevant line of research has considered a single moving robot that transforms an otherwise static shape by carrying its tiles one at a time [14, 38, 39]. Those models are partially centralised as a single robot (usually a finite automaton) controls the transformation, but, in contrast to our perspective, control in that case is local and lacking global information.

## 1.2. Our Contribution

In this work, we build upon the findings of [34] aiming to design very efficient and general transformations that are additionally able to keep the shape connected throughout their course.

We first give an  $O(n \log n)$ -time transformation, called *HamiltonianToLine*, that works for all pairs of shapes  $(S_I, S_F)$  that have the same order and belong to the family of *Hamiltonian shapes*. A *Hamiltonian shape* is any connected shape  $S$  whose *associated graph*  $G(S)$  has a Hamiltonian path (see also [40]). At the heart of our transformation is a recursive successive doubling technique, which starts from one endpoint of the Hamiltonian path and proceeds in  $\log n$  phases (where  $n$  denotes the order of the input shape  $S_I$ , throughout this paper). In every phase  $i$ , it moves a terminal line  $L_i$  of length  $2^i$  a distance  $2^i$  higher on the Hamiltonian path through a *LineWalk* operation. This leaves a new terminal sub-path  $S_i$  of the Hamiltonian path, of length  $2^i$ . Then the general procedure is recursively called on  $S_i$  to transform it into a straight line  $L'_i$  of length  $2^i$ . Finally, the two straight lines  $L_i$  and  $L'_i$  which are perpendicular to each other are combined into a new straight line  $L_{i+1}$  of length  $2^{i+1}$  and the next phase begins.

A core technical challenge in making the above transformation work is that Hamiltonian shapes do not necessarily provide free space for the *LineWalk* operation. Thus, moving a line has to take place through the remaining configuration of nodes while at the same time ensuring that it does not break their and its own connectivity, including keeping itself connected to the rest of the shape. We manage to overcome this by revealing a nice property of line moves, according to which a line  $L$  can *transparently* walk through *any* configuration  $S$  (independently of the latter's density) in a way that: (i) preserves connectivity of both  $L$  and  $S$  and (ii) as soon as  $L$  has gone through it,  $S$  has been restored to its original state, that is, all of its nodes are lying in their original positions. This property is formally proved in Proposition 1 (Section 2).

Finally, we develop a *universal transformation*, called *UC-Box*, that within  $O(n\sqrt{n})$  moves transforms any pair of connected shapes of the same order to each other, while preserving connectivity throughout its course. Starting from the initial shape  $S_I$ , we first compute a spanning tree  $T$  of  $S_I$ . Then we enclose the

shape into a square box of size  $n$  and divide it into sub-boxes of size  $\sqrt{n}$ , each of which contains at least one sub-tree of  $T$ . By moving lines in a way that does not break connectivity, we compress the nodes in a sub-box into an adjacent sub-box towards a parent sub-tree. By carefully repeating this we manage to arrive at a final configuration which is always a compressed square shape. The latter is a type of a *nice* shape (a family of connected shapes introduced in [34]), which can be transformed into a straight line in linear time. We provide an analysis of this strategy based on the number of *charging phases*, which turns out to be  $\sqrt{n}$ , each making at most  $n$  moves, for a total of  $O(n\sqrt{n})$  moves.

Section 2 formally defines the model and the problems under consideration and proves a basic proposition which is a core technical tool in one of our transformations. Section 3 presents our  $O(n \log n)$ -time transformation for Hamiltonian shapes. Section 4 discusses our universal  $O(n\sqrt{n})$ -time transformation. Finally, in Section 5 we conclude and discuss interesting problems left open by our work.

## 2. Preliminaries

All transformations in this study operate on a two-dimensional square grid, in which each cell has a unique position of non-negative integer coordinates  $(x, y)$ , where  $x$  represents columns and  $y$  denotes rows in the grid. A set of  $n$  nodes on the grid forms a shape  $S$  (of the order  $n$ ), where every single node  $u \in S$  occupies only one cell,  $cell(u) = (u_x, u_y)$ . A node  $u$  can be indicated at any given time by the coordinates  $(u_x, u_y)$  of the unique cell that it occupies at that time. A node  $v \in S$  is a *neighbour* of (or *adjacent* to) a node  $u \in S$  if and only if their coordinates satisfy  $u_x - 1 \leq v_x \leq u_x + 1$  and  $u_y - 1 \leq v_y \leq u_y + 1$  (i.e., their cells are adjacent vertically, horizontally or diagonally). A graph  $G(S) = (V, E)$  is *associated* with a shape  $S$ , where  $u \in V$  iff  $u$  is a node of  $S$  and  $(u, v) \in E$  iff  $u$  and  $v$  are neighbours in  $S$ . A shape  $S$  is connected iff  $G(S)$  is a connected graph. We denote by  $T(S)$  (or just  $T$  when clear from context) a spanning tree of  $G(S)$ . In what follows,  $n$  denotes the number of nodes in a shape under consideration, and all logarithms are to base 2.

In this paper, we exploit the linear-strength mechanism of the *line-pushing model* introduced in [34]. A line  $L$  is a sequence of nodes occupying consecutive cells in one direction of the grid, that is, either vertically or horizontally but not diagonally. A *line move* is an operation of moving all nodes of  $L$  together in a single time-step towards a position adjacent to one of  $L$ 's endpoints, in a given direction  $d$  of the grid,  $d \in \{up, down, right, left\}$ . A *line move* may also be referred to as *step*, *move*, or *movement* in this paper. Throughout, the running time of transformations is measured in total number of line moves until completion. A *line move* is formally defined below.

**Definition 1** (A Permissible Line Move). *A line  $L = (x, y), (x + 1, y), \dots, (x + k - 1, y)$  of length  $k$ , where  $1 \leq k \leq n$ , can push all its  $k$  nodes rightwards in a single move to positions  $(x + 1, y), (x + 2, y), \dots, (x + k, y)$  iff there exists an empty cell at  $(x + k, y)$ . The “down”, “left”, and “up” moves are defined symmetrically, by rotating the whole shape  $90^\circ$ ,  $180^\circ$  and  $270^\circ$  clockwise, respectively.*

A configuration of the system is defined as a mapping  $C: \mathbb{Z} \times \mathbb{Z} \rightarrow \{0, 1\}$ , where  $C(x, y) = 0$  if  $cell(x, y)$  is empty or  $C(x, y) = 1$  if  $cell(x, y)$  is occupied by a node. Equivalently, a configuration can be defined as a set  $\{(x, y) : x, y \in \mathbb{Z} \text{ and } C(x, y) = 1\}$ . Let  $C_0$  denote the initial configuration of the system. We say that  $C'$  is *directly reachable* from  $C$  and denoted  $C \rightarrow C'$ , if  $C$  can be transformed into  $C'$  in one line move. Moreover,  $C'$  is *reachable* from  $C$ , denoted  $C \rightarrow^* C'$ , if there is a sequence of configurations  $C = C_1, C_2, \dots, C_t = C'$  such that  $C_i \rightarrow C_{i+1}$  holds for all  $i \in \{1, 2, \dots, t - 1\}$ . We next define a family of shapes that are used in one of our transformations.

**Definition 2** (Hamiltonian Shapes). *A shape  $S$  is called Hamiltonian iff  $G(S) = (V, E)$  contains a path starting from a node  $u \in V$ , visiting every node in  $V$  exactly once and ending at a node  $v \in V$ , where  $v \neq u$ .  $\mathcal{H}$  denotes the family of all Hamiltonian shapes, see Figure 1.*

We define a *rectangular path*  $P$  over the set of cells as  $P = [c_1, c_2, c_3, \dots, c_k]$ , where  $c_i, c_{i+1} \in \mathbb{Z} \times \mathbb{Z}$  are two cells adjacent to each other either vertically or horizontally, for all  $i \in \{1, 2, \dots, k - 1\}$ . Given any *rectangular path*  $P$ , let  $C_P$  be the configuration of  $P$ , which is the subset of  $C$  (configuration of the system)

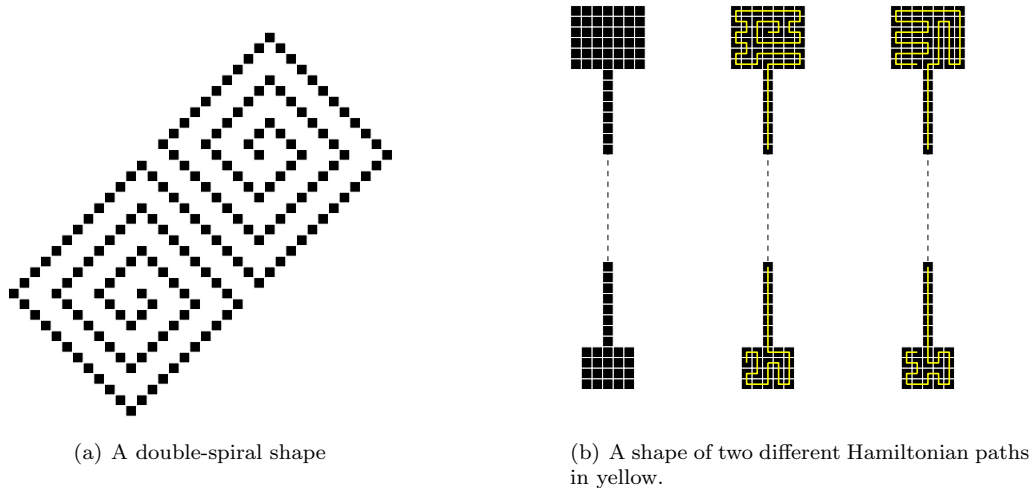


Figure 1: Examples of Hamiltonian shapes.

restricted to the cells of  $P$ . The following proposition proves a basic property of line moves which will be a core technical tool in our transformation for Hamiltonian shapes.

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**Proposition 1** (Transparency of Line Moves). *Let  $S$  be any shape,  $L \subseteq S$  any line and  $P$  a rectangular path starting from a position adjacent to one of  $L$ 's endpoints. There is a way to move  $L$  along  $P$ , while satisfying all the following properties:*

1. No delay: *The number of steps is asymptotically equal to that of an optimum move of  $L$  along  $P$  in the case of  $C_P$  being empty (i.e., if no cells were occupied). That is,  $L$  is not delayed, independently of what  $C_P$  is.*
2. No effect: *After  $L$ 's move along  $P$ ,  $C'_P = C_P$ , i.e., the cell configuration has remained unchanged. Moreover, no occupied cell in  $C_P$  is ever emptied during  $L$ 's move (but unoccupied cells may be temporarily occupied).*
3. No break:  *$S$  remains connected throughout  $L$ 's move.*

*Proof.* Whenever  $L$  walks through an empty cell  $(x, y)$  of  $P$ , a node  $u \in L$  fills in  $(x, y)$ . If  $L$  pushes the node  $u$  of a non-empty cell of  $P$ , a node  $v \in L$  takes its place. When  $L$  leaves a non-empty cell  $(x, y)$  that was originally occupied by node  $v$ ,  $L$  restores  $(x, y)$  by leaving its endpoint  $u \in L$  in  $(x, y)$ . Finally, Figure 3 shows how to deal with the case in which  $L$  turns at a non-empty corner-cell  $(x, y)$  of  $P$ , which is only connected diagonally to a non-empty cell of  $S$  and is not adjacent to any cell occupied by  $L$ . Figure 2 shows an example of configuration  $C_P$ .

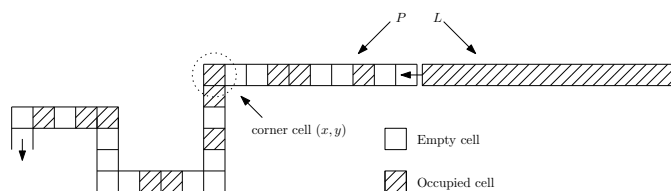


Figure 2: A path  $P$  of a given configuration  $C_P$ . A line  $L$  will pass along  $P$ .



### 3.1. Transforming Diagonal shape into Line shape

We first demonstrate the core recursive technique of this strategy in a special case which is sufficiently sparse to allow local reconfigurations without the risk of affecting the connectivity of the rest of the shape. In this special case,  $S_I$  is a diagonal of any order and observe that  $S_I, S_F \in \mathcal{H}$  holds for this case. We then generalise this recursive technique to work for any  $S_I \in \mathcal{H}$  and add to it the necessary sub-procedures that can perform local reconfiguration in *any* area (independently of how dense it is), while ensuring that global connectivity is always preserved.

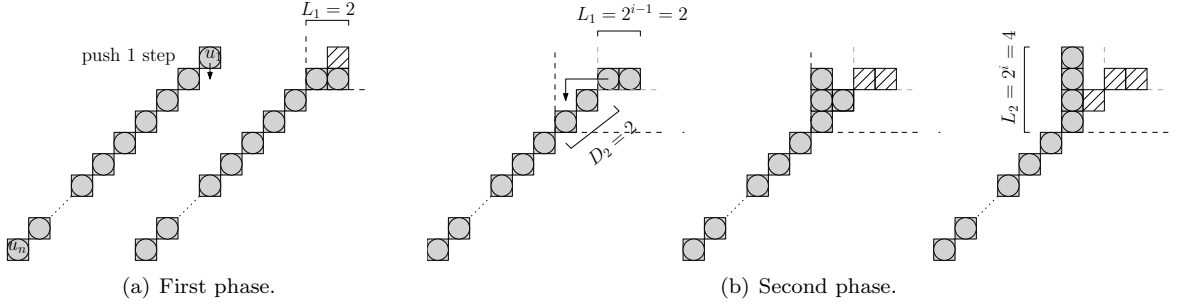


Figure 4: First and second phase of *HamiltonianToLine* transformation on the diagonal shape.

Let  $S_I$  be a diagonal of  $n$  nodes  $u_n, u_{n-1}, \dots, u_1$ , occupying cells  $(x, y), (x+1, y+1), \dots, (x+n-1, y+n-1)$ , respectively. Assume for simplicity of exposition that  $n$  is a power of 2; this can be dropped later. As argued above, it is sufficient to show how  $S_I$  can be transformed into a straight line  $S_L$ . In phase  $i = 0$ , the top node  $u_1$  moves one position to align with  $u_2$  and form a line  $L_1$  of length 2, as depicted in Figure 4 (a). Next phase,  $L_1$  moves and turns to align with  $u_4$ , then repeat whatever done in phase  $i = 0$  again on nodes  $u_3$  and  $u_4$  (where both form a diagonal segment  $D_2$  to create a line  $L'_1$ , and then combine the two perpendicular line  $L_1$  and  $L'_1$  into a line  $L_2$  of length 4, as shown in Figure 4 (b).

### 3.2. Transforming Hamiltonian shapes into Line shape

In any phase  $i$ , for all  $1 \leq i \leq \log n$ , a line  $L_i$  occupies  $2^i$  consecutive cells in a terminal subset of  $S_I$  (see an example in Figure 5 (a)).  $L_i$  moves through a shortest path towards the far endpoint of the next diagonal segment  $D_i$  of length  $2^i$  (Figure 5 (b)). Note that for general shapes, this move shall be replaced by a more general *Line-Walk* operation (defined in the sequel). By a recursive call on  $D_i$ ,  $D_i$  transforms into a line  $L'_i$  (Figure 5 (c)). Finally, the two perpendicular lines  $L_i$  and  $L'_i$  are combined in linear time into a straight line  $L_{i+1}$  of length  $2^{i+1}$  (Figure 5 (d)). Observe that connectivity might be broken as  $L'_i$  moving up and  $L_i$  pushing left in Figure 5 (d); hence, this case can be resolved in many ways, such as Figure 3 in Proposition 1. By the end of phase  $\log n$ , a straight line  $S_L$  of order  $n$  has been formed.

A core technical challenge in making the above transformation work in the general case, is that Hamiltonian shapes do not necessarily provide free space, thus, moving a line has to take place through the remaining configuration of nodes while at the same time ensuring that it does not break their and its own connectivity. In the more general *LineWalk* operation that we now describe, we manage to overcome this by exploiting *transparency* of line moves, according to which a line  $L$  can *transparently* walk through any configuration  $S$  (independently of the latter's density); see Proposition 1.

**LineWalk.** At the beginning of any phase  $i$ , there is a terminal straight line  $L_i$  of length  $2^i$  containing the nodes  $v_1, \dots, v_{2^i}$ , which is connected to an  $S_i \subseteq S_I$ , such that  $S_i$  consists of the  $2^i$  subsequent nodes, that is  $v_{2^i+1}, \dots, v_{2^{i+1}}$ . Observe that  $S_i$  is the next terminal sub-path of the remaining Hamiltonian path of  $S_I$ . We distinguish the following cases: (1) If  $L_i$  and  $S_i$  are already forming a straight line, then go to phase  $i + 1$ . (2) If  $S_i$  is a line perpendicular to  $L_i$ , then combine them into a straight line by pushing  $L_i$  to extend  $S_i$  and go to phase  $i + 1$ . Otherwise, (3) check if the (Manhattan) distance between  $v_{2^i}$  and  $v_{2^{i+1}}$  is  $\delta(v_{2^i}, v_{2^{i+1}}) \leq 2^i$ , then  $L_i$  moves from  $v_{2^i} = (x, y)$  vertically or horizontally towards either node  $(x, y')$  or

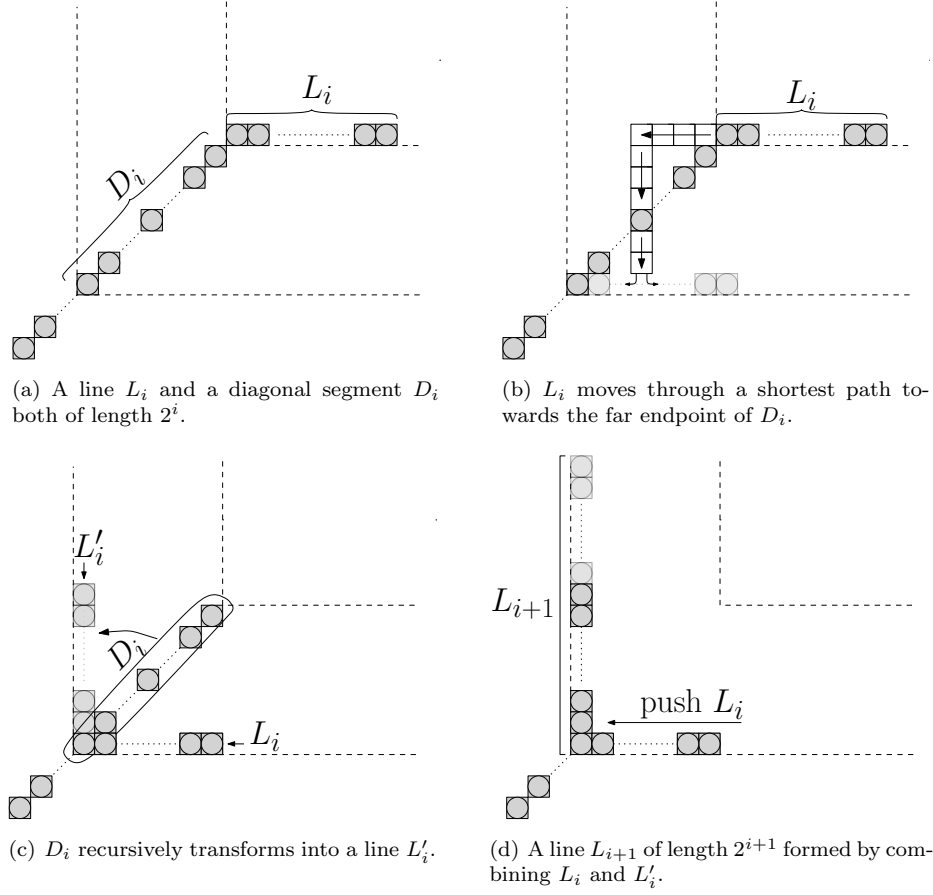


Figure 5: A snapshot of phase  $i$  of *HamiltonianToLine* transformation applied on a diagonal. Light grey cells represent the ending positions of the corresponding moves depicted in each sub-figure.

$(x', y)$  in which  $L_i$  turns and keeps moving to  $v_{2^{i+1}} = (x', y')$  on the other side of  $S_I$ . If not, (4)  $L_i$  must first pass through a middle node of  $S_I$  at  $v_{2^i+2^{i-1}} = (x'', y'')$ , therefore  $L_i$  repeats (3) twice, from  $v_{2^i}$  to  $v_{2^i+2^{i-1}}$  and then towards  $v_{2^{i+1}}$ .

Note that cases (3) and (4) ensure that  $L_i$  is not disconnected from the rest of the shape. Moreover, moving  $L_i$  must be performed in a way that respects transparency (Proposition 1), so that connectivity of the remaining shape is always preserved and its configuration is restored to its original state. These details are described later in this section.

Algorithm 1, *HAMILTONIANTOLINE*, gives a general strategy to transform any Hamiltonian shape  $S_I \in \mathcal{H}$  into a straight line in  $O(n \log n)$  moves. In every phase  $i$ , it moves a terminal line  $L_i$  of length  $2^i$  a distance  $2^i$  higher on the Hamiltonian path through a *LineWalk* operation. This leaves a new terminal sub-path  $S_i$  of the Hamiltonian path, of length  $2^i$ . Then the general procedure is recursively called on  $S_i$  to transform it into a straight line  $L'_i$  of length  $2^i$ . Finally, the two straight lines  $L_i$  and  $L'_i$  which are perpendicular to each other are combined into a new straight line  $L_{i+1}$  of length  $2^{i+1}$  and the next phase begins. The output of *HAMILTONIANTOLINE* is a straight line  $S_L$  of order  $n$ .



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**Algorithm 1:** HAMILTONIANTOLINE( $S$ )

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$S = (u_0, u_1, \dots, u_{|S|-1})$  is a Hamiltonian shape

Initial conditions:  $S \leftarrow S_I$  and  $L_0 \leftarrow \{u_0\}$

**for**  $i = 0, \dots, \log |S|$  **do**

    LineWalk( $L_i$ )

$S_i \leftarrow \text{select}(2^i)$  // select the next terminal subset of  $2^i$  consecutive nodes of  $S$

$L'_i \leftarrow \text{HamiltonianToLine}(S_i)$  // recursive call on  $S_i$

$L_{i+1} \leftarrow \text{combine}(L_i, L'_i)$  // combines  $L_i$  and  $L'_i$  into a new straight line  $L_{i+1}$

**end**

**Output:** a straight line  $S_L$

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### 3.3. Correctness and runtime analysis

Now we are ready to show correctness of *HamiltonianToLine* transformation, which is capable of transforming any Hamiltonian shape  $S \in \mathcal{H}$  into a line shape of the same order, while preserving connectivity during its course. Next, we show that the strategy takes a total of  $O(n \log n)$  moves to complete the transformation.

**Lemma 1.** *Starting from an initial Hamiltonian shape  $S_I \in \mathcal{H}$  of order  $n$ , HAMILTONIANTOLINE forms a straight line  $S_L \in \mathcal{H}$  of length  $n$ .*

*Proof.* By the beginning of the final phase, the shape configuration consists of two parts, a straight line  $L$  of length  $2^{\log n - 1}$  and a shape  $S$  of  $2^{\log n - 1}$  nodes. During this phase,  $L$  performs a *LineWalk* operation,  $S$  transforms recursively into  $L'$  and then  $L$  combines with  $L'$  into a straight line  $S_L$  of length  $2^{\log n} = n$ . Consequently,  $S_L$  shall occupy  $n$  consecutive cells on the grid, either vertically or horizontally.  $\square$

**Lemma 2.** *The operation of Line-Walk preserves the whole connectivity of the shape during phase  $i$ , where  $1 \leq i \leq \log n$ .*

*Proof.* Let  $S_I \in \mathcal{H}$  be a Hamiltonian shape of order  $n$  in phase  $i$ , which terminates at a straight line  $L_i$  of length  $2^i$  nodes, starting from  $v_1$  to  $v_{2^i}$ . During phase  $i$ , this transformation doubles the size of  $L_i$  by merging its nodes with the subsequent  $2^i$  nodes on the Hamiltonian path from  $v_{2^i+1}$  to  $v_{2^{i+1}}$ .

We now show case (1) and (2) of the *Line-Walk* operation on a horizontal  $L_i$  (*the other cases are symmetric by rotating the shape  $90^\circ$ ,  $180^\circ$  or  $270^\circ$  clockwise*). In case 1,  $L_i$  and  $S_i$  are already forming a straight line  $L_{i+1}$  of length  $2^{i+1}$ , hence the whole configuration of the shape left unchanged. In case (2),  $L_i$  and  $S_i$  are forming two perpendicular straight lines in which  $L_i$  can easily push into  $S_i$  and extend it by  $2^i$ . As  $L_i$  pushes and  $S_i$  extends to form  $L_{i+1}$ , they are replacing and restoring any occupied cell along their way through *any* configuration (independently of how density is) by exploiting *transparency* of line moves in Proposition 1. As a result, the *Line-Walk* operation preserves connectivity of  $L_i$ ,  $S_i$  and the whole shape.

Now, let  $L_i$  and  $S_i$  be of the same configuration of case (3) or (4) described above, where  $L_i$  has length of  $2^i$  and  $S_i$  consists of  $2^i$  nodes  $v_{2^i+1}, \dots, v_{2^{i+1}}$  that occupy multiple rows and columns. Due to symmetry, assume  $L_i$  is horizontal and occupies  $(x, y), (x+1, y), \dots, (x+2^i, y)$  and  $S_i$  is the next terminal sub-path of the remaining Hamiltonian path. Then, the Manhattan distance between  $v_{2^i} = u$  and  $v_{2^{i+1}} = v$ ,  $\delta(u, v) = |u_x - v_x| + |u_y - v_y|$ , determines the path that the line  $L_i$  will go through in order to reach the far endpoint of  $S_i$ . There are two possible paths of a single move from  $u$  to  $v$ . The first path starts horizontally from cell  $(u_x, u_y)$  then turns at  $(v_x, u_y)$  continuing vertically towards  $(v_x, v_y)$ , and the second one starts from  $(u_x, u_y)$  then turns at  $(u_x, v_y)$  continuing horizontally towards  $(v_x, v_y)$ .

In case (3), the distance between  $v_{2^i}$  and  $v_{2^{i+1}}$  is  $\delta(v_{2^i}, v_{2^{i+1}}) \leq 2^i$ , thus  $L_i$  moves horizontally from  $v_{2^i} = (x, y)$  through  $(x', y)$  at which  $L_i$  changes its direction towards  $v_{2^{i+1}} = (x', y')$ . In a worst-case configuration, a path may consist of at least  $2^i$  empty cells  $L_i$  goes through to reach the destination cell  $(x', y')$ . Recall that  $L_i$  already consists of  $2^i$  nodes, which guarantees connectivity all the way until arriving at  $(x', y')$ . Once  $L_i$  has arrived there, it can safely change its direction to line up with  $v_{2^{i+1}}$  and occupy the

column  $x'$ , while preserving connectivity. Further, any non-empty cells of the path are eventually restored due to the *transparency* of line moves shown in Proposition 1.

Finally, the same argument holds for (4) by applying (3) twice. Figure 6 shows an example of case (3) and (4). Thus, *Line-Walk* always keeps the whole shape connected during any phase  $i$  of the transformation.  $\square$

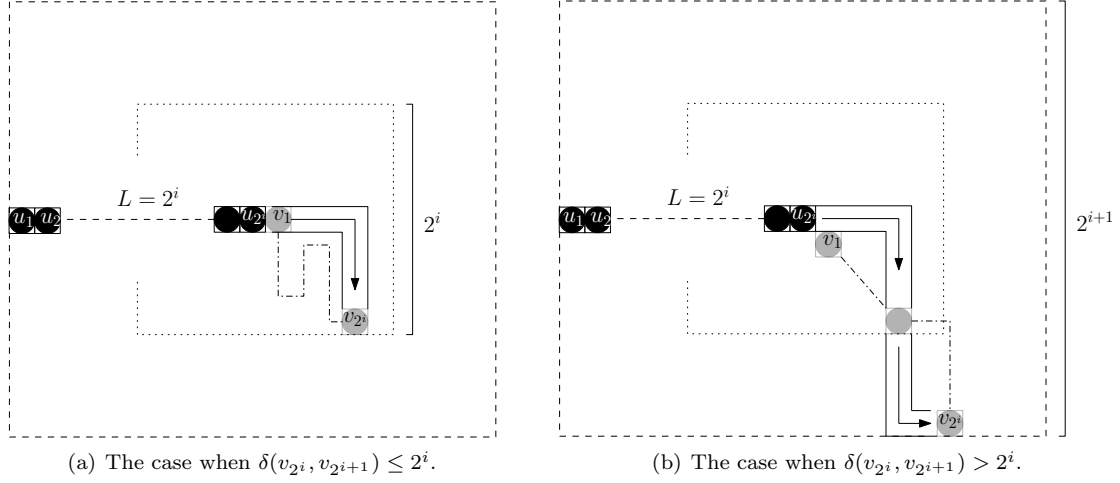


Figure 6: Two cases of *Line-Walk* operation.

Now, we are ready to analyse the running time of HAMILTONIANTOLINE transformation.

**Lemma 3.** *Given an initial Hamiltonian shape  $S_I \in \mathcal{H}$  of order  $n$ , HAMILTONIANTOLINE transforms  $S_I$  into a straight line  $S_L$  in  $O(n \log n)$  moves, while preserving connectivity during the course of the transformation.*

*Proof.* The bound  $O(n)$  trivially holds for case (1) and (2). We then analyse a worst-case of (3) and (4) in which the transformation matches its maximum running time. Let  $T_i$  denote the total number of moves from phase 1 up to  $i$  for all  $0 \leq i \leq \log n$ . In phase  $i$ , a straight line  $L_i$  of length  $2^i$  traverses along a path of at most  $2 \cdot (2^i - 2) = 2^{i+1} - 4$  cells in which  $L_i$  changes its direction twice paying a cost of at most  $2^{i+2} - 4$  moves. There is an additive factor of 2 for the special-case when  $L_i$  is turning at a non-empty corner as depicted in Figure 3. Then the operation of *Line-Walk* takes total moves  $k'_i$  of at most:

$$k'_i = (2^{i+1} - 4) + (2^{i+2} - 4) + 2 = 6(2^i - 1).$$

By the end of phase  $i$ ,  $L_i$  and  $L'_i$  combine together into a straight line  $L_{i+1}$  of length  $2^{i+1}$ , in a total cost  $k''_i$  of at most:

$$k''_i = 2(2^i - 1),$$

moves. Hence, the operation of *Line-Walk* and combination of  $L_i$  and  $L'_i$  requires at most  $k_i$  in phase  $i$  given by:

$$\begin{aligned} k_i &= k'_i + k''_i \\ &= 6(2^i - 1) + 2(2^i - 1) = 8(2^i - 1) \\ &\approx (2^i - 1) \\ &\leq O(2^i). \end{aligned}$$

Now, let  $T_{i-1}$  denote a total number of moves for a recursive call of HAMILTONIANTOLINE transformation on  $S_i$  (of  $2^i$  nodes) to transform it into a straight line  $L'_i$ , then the transformation in phase  $i$  requires at most:

$$T_i = 2 \cdot T_{i-1} + k_i,$$

moves. Given that the first phase  $i$  costs  $T_1 = 1$ , we compute the recursion as follows:

$$\begin{aligned} T_i &= 2 \cdot T_{i-1} + k_i = 2T_{i-1} + 2^i \\ &= 2(2T_{i-2} + 2^{i-1}) + 2^i = 4 \cdot T_{i-2} + 2 \frac{2^i}{2} + 2^i = 2^2 \cdot T_{i-2} + 2 \cdot 2^i \\ &= 4(2 \cdot T_{i-3} + 2^{i-2}) + 2 \cdot 2^i = 8 \cdot T_{i-3} + 4 \frac{2^i}{4} + 2 \cdot 2^i = 2^3 \cdot T_{i-3} + 3 \cdot 2^i \\ &= \dots \\ &= 2^i \cdot T_1 + i \cdot n \\ &= 2^i + i \cdot n. \end{aligned}$$

Therefore, phase  $i$  of the transformation costs at most:

$$T_i \leq O(2^i + i \cdot n).$$

Thus in the final phase  $i = \log n$ , we conclude that HAMILTONIANTOLINE transformation performs a total cost  $T_{\log n}$  of at most:

$$\begin{aligned} T_{\log n} &\leq 2^{\log n} + n \log n \\ &= n + n \log n \\ &= O(n \log n). \end{aligned}$$

□

By Lemmas 2 and 3, any Hamiltonian shape  $S \in \mathcal{H}$  can be transformed into a straight line  $S_L \in \mathcal{H}$  of the same order within  $O(n \log n)$  moves, while preserving connectivity. By reversibility of line moves [34], any pair of Hamiltonian shapes  $S_I, S_F \in \mathcal{H}$  of the same order can be transformed to each other by first transforming  $S_I$  into  $S_L$  and then reversing the transformation of  $S_F$  into  $S_L$ , within the same asymptotic time of  $O(n \log n)$  moves. Thus we have arrived at the following theorem:

**Theorem 1.** *For any pair of Hamiltonian shapes  $S_I, S_F \in \mathcal{H}$  of the same order  $n$ ,  $S_I$  can be transformed into  $S_F$  (and  $S_F$  into  $S_I$ ) in  $O(n \log n)$  moves, while preserving connectivity of the shape during the course of the transformation.*

#### 4. An $O(n\sqrt{n})$ -time Universal Transformation

In this section, we develop a transformation that solves the UNIVERSALCONNECTED problem in  $O(n\sqrt{n})$  moves. It is called COMPRESS and transforms any pair of connected shapes  $(S_I, S_F)$  of the same order to each other, while preserving connectivity during its course.

Starting from the initial shape  $S_I$  of order  $n$  with an associated graph  $G(S_I)$ , compute a spanning tree  $T$  of  $G(S_I)$ . Then enclose the shape into an  $n \times n$  square box and divide it into  $\sqrt{n} \times \sqrt{n}$  square sub-boxes. Each occupied sub-box contains one or more maximal sub-trees of  $T$ . Each such sub-tree corresponds to a sub-shape of  $S_I$ , which from now on we call a *component*. Pick a leaf sub-tree  $T_l$ , let  $C_l$  be the component

with which it is associated, and  $B_l$  their sub-box. Let also  $B_p$  be the sub-box adjacent to  $B_l$  containing the unique parent sub-tree  $T_p$  of  $T_l$ . Then compress all nodes of  $C_l$  into  $B_p$  through line moves, while keeping the nodes of  $C_p$  (the component of  $T_p$ ) within  $B_p$ . Once compression is completed and  $C_p$  and  $C_l$  have been *combined* into a single component  $C'_p$ , compute a new sub-tree  $T'_p$  spanning  $G(C'_p)$ . Repeat until the whole shape is compressed into a  $\sqrt{n} \times \sqrt{n}$  square. The latter belongs to the family of *nice* shapes (a family of connected shapes introduced in [34]) and can, thus, be transformed into a straight line in linear time.

Given that, the main technical challenges in making this strategy work universally is that a connected shape might have many different configurations inside the sub-boxes it occupies, while the shape needs to remain connected during the transformation. In the following, we describe the *compression* operation, which successfully tackles all of these issues by exploiting the linear strength of line moves.

#### 4.1. Universal transformation by compression approach

Let  $C_l \subseteq S_I$  be a leaf component containing nodes  $v_1, \dots, v_k$  inside a sub-box  $B_l$  of size  $\sqrt{n} \times \sqrt{n}$ , where  $1 \leq k \leq n$ , and  $C_p \subseteq S_I$  the unique parent component of  $C_l$  occupying an adjacent sub-box  $B_p$ . If the direction of connectivity between  $B_l$  and  $B_p$  is vertical or horizontal (for an example see Figure 7), push all lines of  $C_l$  one move towards  $B_p$  sequentially one after the other, starting from the line furthest from  $B_p$ . Repeat the same procedure to first align all lines perpendicularly to the boundary between  $B_l$  and  $B_p$  (Figure 7 (d)) and then to transfer them completely into  $B_p$  (Figures 7(e)). Hence,  $C_l$  and  $C_p$  are combined into  $C'_p$ , and the next round begins.

The above steps are performed in a way which ensures that all lines (in  $C_l$  or  $C_p$ ) which are being pushed by this operation do not exceed the boundary of  $B_p$  (Figure 8(d)). While  $C_l$  compresses vertically or horizontally, it may collide with a component  $C_r \subseteq S_I$  inside  $B_l$ . In this case  $C_l$  stops compressing and combines with  $C_r$  into  $C'_r$ . Then the next round begins. If  $C_l$  compresses diagonally towards  $C_p$  (vertically then horizontally or vice versa) via an intermediate adjacent sub-box  $B_m$  and collides with  $C_m \subseteq S_I$  inside  $B_m$ , then  $C_l$  completes compression into  $B_m$  and combines with  $C_m$  into  $C'_m$ . Figure 8 shows how to compress a leaf component into its parent component occupying a diagonal adjacent sub-box.

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#### Algorithm 2: COMPRESS( $S$ )

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$S = (u_1, u_2, \dots, u_{|S|})$  is a connected shape,  $T$  is a spanning tree of  $G(S)$  **repeat**

$C_l \leftarrow \text{pick}(T_l)$ // select a leaf component associated with a leaf sub-tree
Compress( $C_l$ ) // start compressing the leaf component
<b>if</b> $C_l$ collides <b>then</b>
$C'_r \leftarrow \text{combine}(C_r, C_l)$ or $C'_m \leftarrow \text{combine}(C_m, C_l)$ // as described in text
<b>else</b>
$C'_p \leftarrow \text{combine}(C_p, C_l)$ // combine $C_l$ with a parent component
<b>end</b>
update( $T$ ) // update sub-trees and remove cycles after compression

**until** the whole shape is compressed into a  $\sqrt{n} \times \sqrt{n}$  square

**Output:** a square shape  $S_C$

---

Algorithm 2, COMPRESS, provides a universal procedure to transform an initial connected shape  $S_I$  of any order into a compressed square shape of the same order. It takes two arguments:  $S_I$  and the spanning tree  $T$  of the *associated graph*  $G(S_I)$ . In any round: Pick a leaf sub-tree of  $T_l$  corresponding to  $C_l$  inside a sub-box  $B_l$ . Compress  $C_l$  into an adjacent sub-box  $B_p$  towards its parent component  $C_p$  associated with parent sub-tree  $T_p$ . If  $C_l$  compressed with no collision, perform  $\text{combine}(C_p, C_l)$  which combines  $C_l$  with  $C_p$  into one component  $C'_p$ . If  $C_l$  collides with another component  $C_r$  inside  $B_l$ , then perform  $\text{combine}(C_r, C_l)$  into  $C'_r$ . If not, as in the diagonal compression in which  $C_l$  collides with  $C_m$  in an intermediate sub-box  $B_m$ , then  $C_l$  compresses completely into  $B_m$  and performs  $\text{combine}(C_m, C_l)$  into  $C'_m$ . Once compression is completed,  $\text{update}(T)$  computes a new sub-tree and removes any cycles. The algorithm terminates when  $T$  matches a single component of  $n$  nodes compressed into a single sub-box.

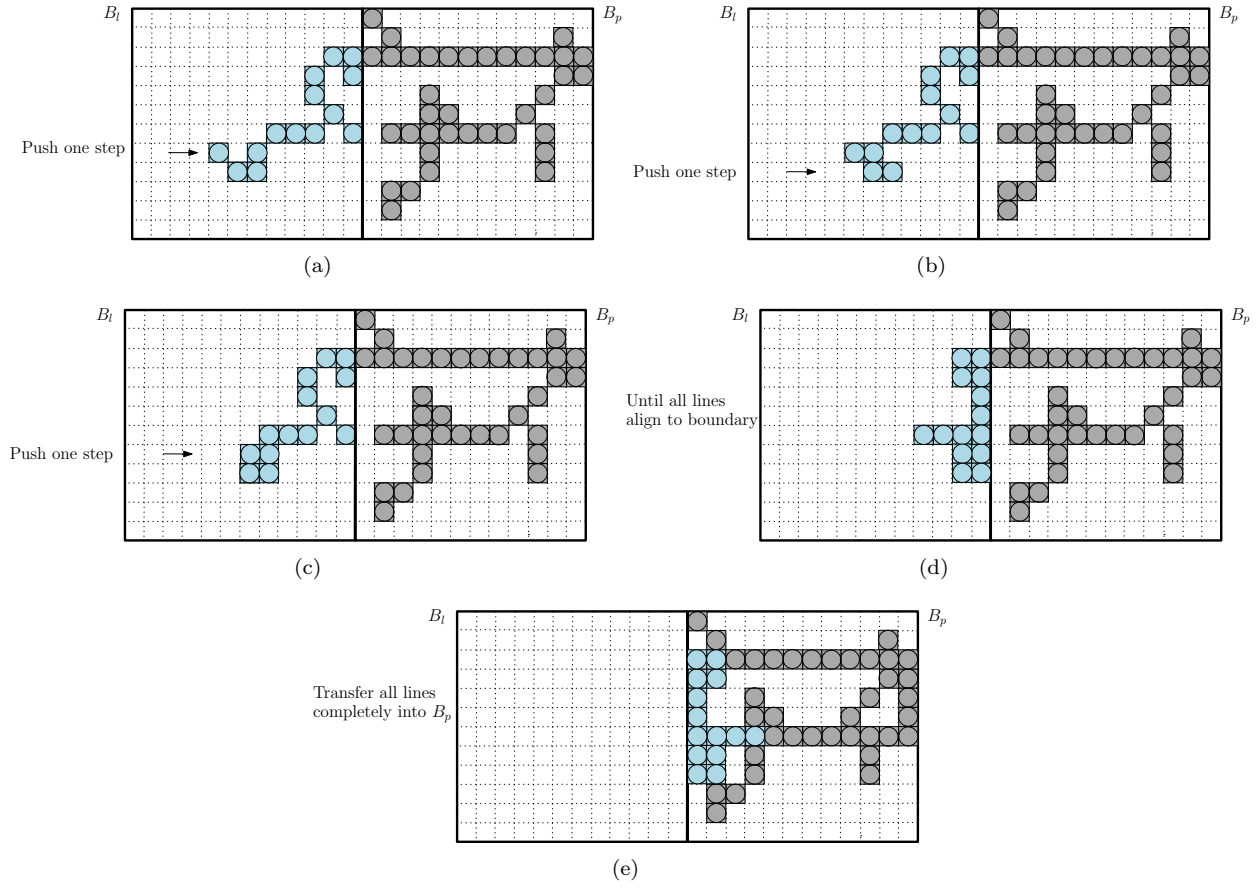


Figure 7: A leaf component  $C_l$  in blue compressing from the left sub-box  $B_l$  towards its parent component  $C_p$  in black inside a horizontal adjacent right sub-box  $B_p$ .  $C_l$  first pushes all lines to align all of them perpendicularly to the boundary between  $B_l$  and  $B_p$  then compresses into  $B_p$ . All other orientations are symmetric and follow by rotating the shape  $90^\circ$ ,  $180^\circ$  or  $270^\circ$  clockwise.

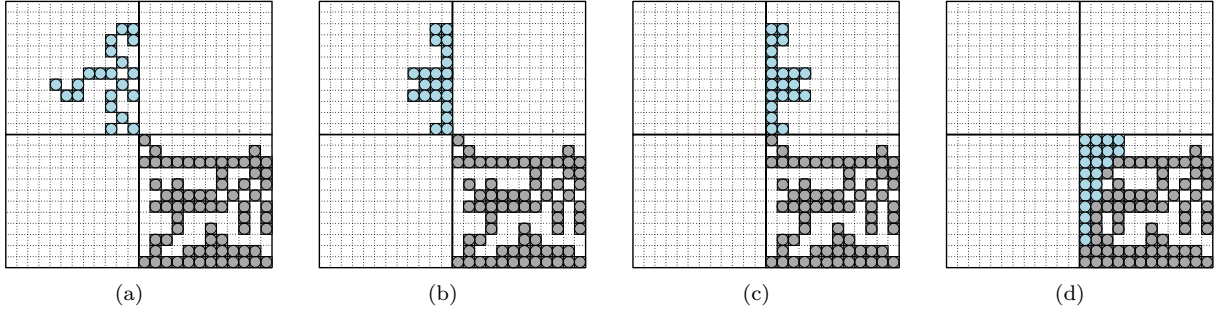


Figure 8: A leaf component  $C_l$  in blue compressing from the top-left sub-box towards its parent component  $C_p$  in black inside a diagonal adjacent bottom-right sub-box.  $C_l$  compresses first horizontally towards an intermediate top-right sub-box, then vertically into the bottom-right. All other orientations are symmetric and follow by rotating the shape  $90^\circ$ ,  $180^\circ$  or  $270^\circ$  clockwise.

4.2. Correctness and runtime analysis

In this section, we prove correctness of COMPRESS transformation. First, we show that the it is able to transform any pair of connected shapes  $(S_I, S_F)$  of the same order to each other, while preserving

connectivity during its course. We then discuss its running time, which takes total cost of at most  $O(n\sqrt{n})$  moves to compress any pair connected shapes having the same number of  $n$  nodes.

Given an initial connected shape  $S_I$  holding  $n$  nodes enclosed into an  $n \times n$  square that is divided into  $\sqrt{n} \times \sqrt{n}$  square sub-boxes, we provide the following definitions that are used in the rest of this section.

**Definition 3** (Connectivity of sub-boxes). *By the above partitioning, two occupied sub-boxes,  $B_1$  and  $B_2$ , are connected iff there are two distinct nodes  $u, v \in S_I$ , such that  $u$  occupies  $B_1$  and  $v$  occupies  $B_2$  where  $u$  and  $v$  are two adjacent neighbours connected vertically, horizontally or diagonally.*

**Definition 4** (Connectivity of components). *By the above partitioning, two connected components,  $C_1$  and  $C_2$ , are connected iff there are two distinct elements  $u \in C_1$  and  $v \in C_2$ , such that  $u$  and  $v$  are two adjacent neighbours connected vertically, horizontally or diagonally.*

Then we show that each sub-box holds at most  $2\sqrt{n}$  components.

**Lemma 4.** *Any  $\sqrt{n} \times \sqrt{n}$  square box can contain at most  $2\sqrt{n}$  components.*

*Proof.* Observe that any component  $C_l \subseteq S_I$  inside a sub-box  $B_l$  must be connected, via a path, to one of  $\sqrt{n}/2$  cells at a length- $\sqrt{n}$  boundary of  $B_l$ , resulting in  $2\sqrt{n}$  for the four boundaries, see Figure 9. Hence, it can contain at most  $2\sqrt{n}$  disconnected components.  $\square$

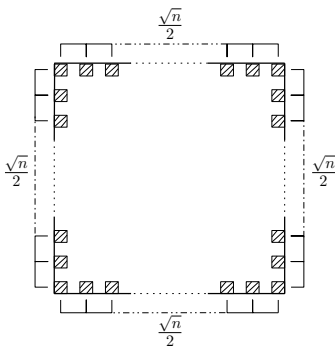


Figure 9: A square box of four length- $\sqrt{n}$  boundaries, each of  $2\sqrt{n}$  cells.

Then, the following lemma proves that any connected shape  $S$  of  $n$  nodes can be compressed into a square box of dimension  $\sqrt{n}$ .

**Lemma 5.** *Let  $S$  be a connected shape of order  $n$  occupies  $\sqrt{n}$  sub-boxes of size  $\sqrt{n} \times \sqrt{n}$  each. Then, it is always possible to compress all  $n$  nodes into a single sub-box.*

*Proof.* The number of cells inside any sub-box is  $\sqrt{n} \times \sqrt{n} = n$ , then it is sufficient to be filled by at most  $n$  nodes.  $\square$

Next, the following lemma shows that COMPRESS transformation eventually forms a nice shape, belongs to a family of connected shapes introduced in [34] and can be transformed fast into a straight line in linear time.

**Lemma 6.** *Starting from an initial connected shape  $S_I$  of order  $n$ , COMPRESS transformation eventually forms a nice shape  $S_{NICE}$  of order  $n$ .*

*Proof.* Regardless of which sub-box the shape will compress into, the resulting final shape will form a square of size  $\sqrt{n}$ , which satisfies all conditions of nice shapes.  $\square$

Given an initial connected shape  $S_I$  of  $n$  nodes with an associated graph  $G(S_I)$ , compute a spanning tree  $T$  of  $G(S_I)$ . Then enclose  $S_I$  into an  $n \times n$  square box and divide it into  $\sqrt{n} \times \sqrt{n}$  square sub-boxes. Each occupied sub-box contains one or more maximal sub-trees of  $T$ . Each such sub-tree corresponds to a component. Pick a leaf sub-tree  $T_l$ , let  $C_l$  be the component with which it is associated, and  $B_l$  their sub-box. Let also  $B_p$  be the sub-box adjacent to  $B_l$  containing the unique parent sub-tree  $T_p$  of  $T_l$ . We then provide the following lemma:

**Lemma 7.** *COMPRESS transformation compresses a leaf component  $C_l \subseteq S_I$  of  $k \geq 1$  nodes, while preserving the global connectivity of the shape.*

*Proof.* We shall discuss all possible cases of compressing all lines  $k \in C_l$  from  $B_l$  towards  $B_p$  vertically, horizontally and diagonally, where  $1 \leq k \leq \sqrt{n}$ . Due to symmetry, we present only one direction as all other directions hold by rotating the shape  $90^\circ$ ,  $180^\circ$  and  $270^\circ$  clockwise. Assume  $B_l$  is a left sub-box horizontally adjacent to right sub-box  $B_p$ . All  $k$  horizontal lines (rows) of  $C_l$  start to move towards  $B_p$  sequentially one after the other, starting from the furthest line from the boundary between  $B_l$  and  $B_p$ . Given a single line  $l \in k$  of length  $i$ ,  $1 \leq i \leq \sqrt{n}$ , push  $l$  horizontally from  $B_l$  into  $B_p$  follows one of these cases:

- **Case 1.** A line  $l$  of length  $\sqrt{n}$  occupies cells  $(x, y), \dots, (x + \sqrt{n}, y)$  starting from the left to right boundary of  $B_l$ . Then  $l$  moves one step right to occupy  $(x + 1, y), \dots, (x + \sqrt{n} + 1, y)$  in a way similar to a simple position permutation of  $l$ 's nodes to their right neighbour positions. Regardless of the shape configuration,  $l$  consequently creates an empty cell at  $(x + 1, y)$  and at the same time stays connected to any other nodes occupying cells  $(x, y \pm 1), \dots, (x + \sqrt{n}, y \pm 1)$ . Therefore, connectivity is preserved in this case. See an example in Figure 10 (a) and (b).

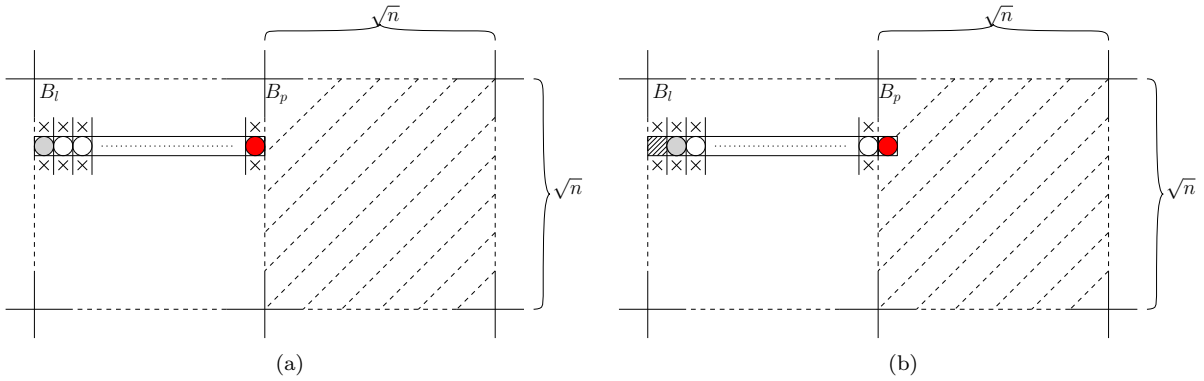


Figure 10: A line  $l$  of length  $\sqrt{n}$  occupies the whole dimension of a sub-box in (a) is pushing one step right in (b).

- **Case 2.** A line  $l$  of length less than  $\sqrt{n}$ . It is similar of **Case 1** in which  $l$  moves one position right; thus connectivity is still preserved. See Figure 11.
- **Case 3.** Two horizontal lines  $l_1$  and  $l_2$ , both of length less than  $\sqrt{n}$ , are occupying the same row separated by one empty cell. Say  $l_1$  starts from the leftmost column  $x$  and ends at  $x + i$ , empty cell at  $(x + i + 1, y)$  and  $l_2$  occupies  $(x + i + 2, y), \dots, (x + \sqrt{n}, y)$ . This is similar of **Case 2** in which  $l_1$  pushes one step towards cell  $(x + i + 1, y)$ , then both lines combines into a single line creating a new empty cell at  $(x, y)$ , see an example in Figure 12. Still, connectivity is preserved in this case.

As mentioned earlier, when a leaf component  $C_l$  occupying  $B_l$  compresses towards its parent  $C_p$  occupying  $B_p$ , we now show that no line exceeds the boundary of  $B_p$  while preserving connectivity.

- **Case 4.** A line  $l$  of length  $i < \sqrt{n}$ , starting from the left boundary and ending at cell  $x + i$  of  $B_p$ , is adjacent to an empty cell to the right at  $(x + i + 1, y)$ . Once  $l$  is pushed one move right, it fills in that

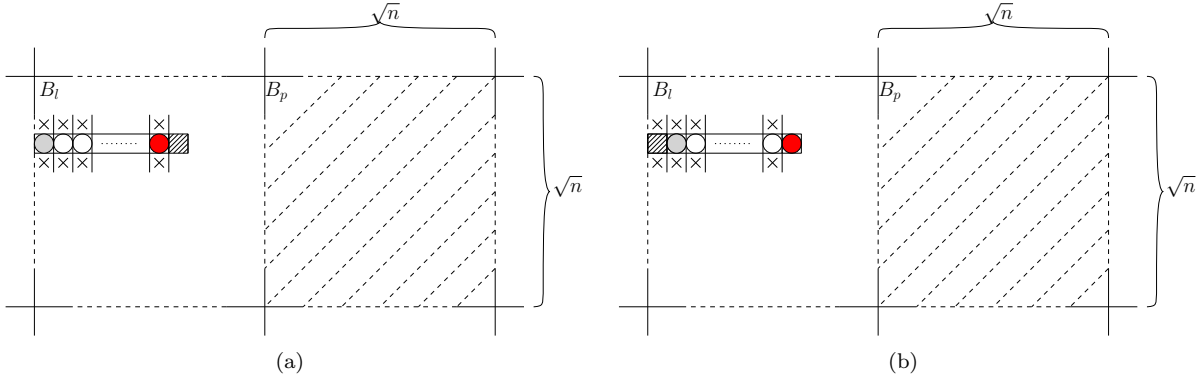


Figure 11: A line  $l$  of length  $i < \sqrt{n}$  in (a) is pushing one step right in (b).

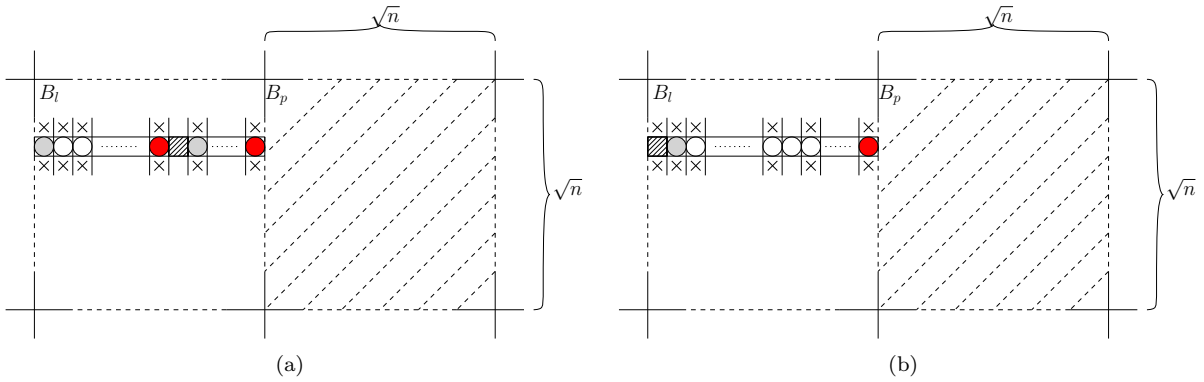


Figure 12: (a) Two lines occupy a row separated by one empty cell inside  $B_l$ , both of length less than  $\sqrt{n}$ . The left line is pushing one step right, then both combined into a single line in (b).

empty cell and occupies positions  $(x + 1, y), \dots, (x + i + 1, y)$  with length  $i + 1$ . This is similar of Case 2 in Figure 11.

- **Case 5.** A line  $l$  of length  $\sqrt{n}$  starts from the left to right boundary of  $B_p$ . Once  $l$  is pushed towards the right, it turns to fill in empty cells at the right boundary of  $B_p$ , starting from the rightmost column to the left. Figures 13 and 14 depicts two different examples of filling  $B_p$  boundary. This case also preserves connectivity of the whole shape.

In all above cases, the horizontal line  $l$  pushes one move towards the right while preserving connectivity of  $S_I$ . As an immediate observation: whenever a line  $l \subset S_I$  inside a sub-box  $B_l$  can push one move towards the boundary between  $(B_l, B_p)$  while the global connectivity of the whole shape is preserved. This holds also for all  $k$  lines that are moving one step from  $B_l$  towards  $B_p$ , sequentially one after another at any order, starting from the furthest-to-nearest line from  $B_p$ . Thus, this must hold for any finite number of line moves required to compress a leaf component  $C_l$  towards its parent  $C_p$ .  $\square$

Now, let us analyse the running time of this transformation. The compression cost could be very low taking only one move or very high up to linear moves in some cases. To simplify the analysis, we divide the total cost into charging phases. We then manage to upper bound the cost of each charging phase independently of the sequential order of compression. Below we provide a rough upper bound for all possible shape configurations.



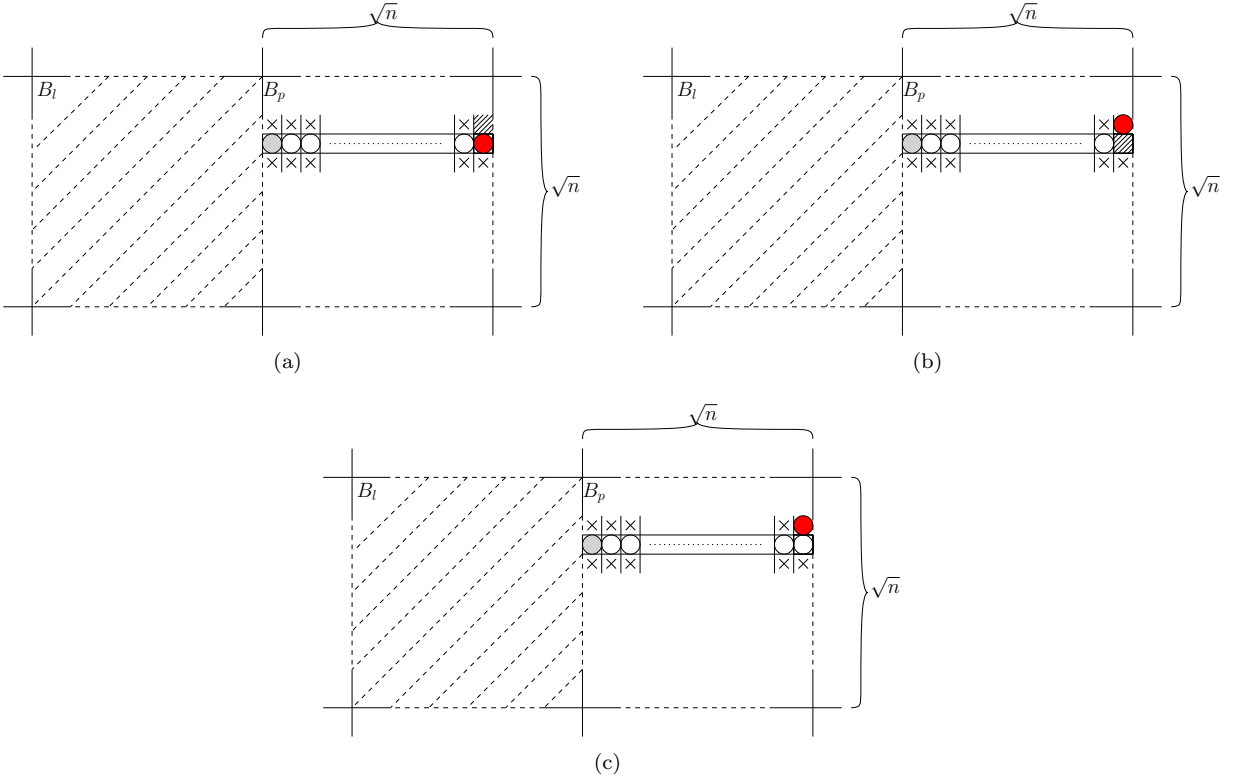


Figure 13: (a) A line  $l$  of length  $\sqrt{n}$  occupies a whole dimension of a parent sub-box  $B_p$  where there is an empty cell above its right end node. As  $l$  pushing right, the end node moves up towards that empty cell (b), then in (c)  $l$  moves one step right.

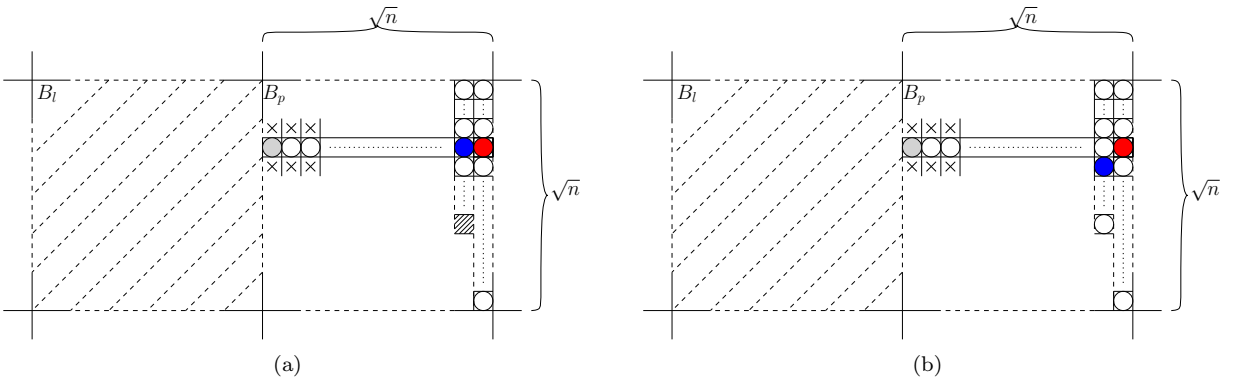


Figure 14: (a) A line  $l$  of length  $\sqrt{n}$  occupies a whole dimension of  $B_p$  in which the rightmost column is fully occupied. In this case,  $l$  fills in the nearest empty cell at the second column from the right (b).

Given a uniform partitioning of any initial connected shape  $S_1$  of order  $n$ , we first show the total cost required to compress a leaf component  $C_l$  inside  $B_l$  into a parent  $C_p$  occupying an adjacent sub-box  $B_p$ .

**Lemma 8.** *Given a pair of components  $C_l$  and  $C_p$  of  $k_l$  and  $k_p$  nodes,  $1 \leq k_l + k_p \leq n$ , occupying two adjacent sub-boxes  $B_l$  and  $B_p$  of size  $\sqrt{n} \times \sqrt{n}$  each, receptively. Then,  $C_l$  requires at most  $O(n)$  moves to compress into  $C_p$  inside  $B_p$ , while preserving connectivity.*

*Proof.* Assume a worst-case configuration when  $B_l$  and  $B_p$  are connected diagonally. In this case, the



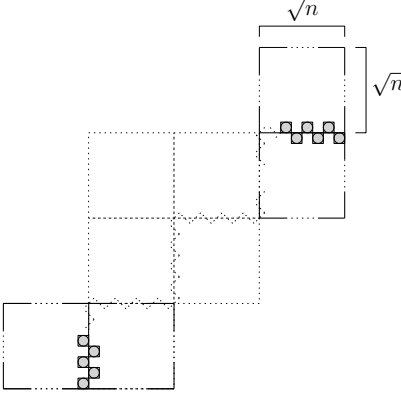


Figure 16: A diagonal zigzag line with a partitioning positioned to cross the middle through every two nodes.

moves, which is mostly sufficient for an occupied sub-box to be emptied of its lines during the transformation. Then, paying all  $\sqrt{n}$  charging phases are sufficient for all  $\sqrt{n}$  occupied sub-boxes to be emptied of all lines inside them over the transformation, in a maximum total cost  $T$ :

$$\begin{aligned} T &= 4n \cdot \sqrt{n} = 4(n\sqrt{n}) \\ &\leq O(n\sqrt{n}), \end{aligned}$$

moves. □

By Lemmas 7 and 9, any connected shape  $S$  of order  $n$  can be transformed into a nice shape  $S_{NICE}$  of the same order  $n$  within  $O(n\sqrt{n})$  moves while preserving connectivity. By reversibility, any pair of connected shapes  $S_I$  and  $S_F$  of the same order can be transformed to each other within the same asymptotic time of  $O(n\sqrt{n})$  moves by first transforming  $S_I$  into  $S_{NICE}$  and then reversing the transformation from  $S_F$  into  $S_{NICE}$ . Finally, we have arrived at the following theorem:

**Theorem 2.** *For any pair of connected shapes  $S_I, S_F$  of the same order  $n$ ,  $S_I$  can be transformed into  $S_F$  (and  $S_F$  into  $S_I$ ) in  $O(n\sqrt{n})$  moves, while preserving connectivity during the course of the transformation.*

## 5. Conclusions and Open Problems

We have presented efficient transformations for the line-pushing model introduced in [34]. Our first transformation works on the family of all Hamiltonian shapes and matches the running time of the best known  $O(n \log n)$ -time transformation while additionally managing to preserve connectivity throughout its course. We then gave the first universal connectivity preserving transformation for this model. Its running time is  $O(n\sqrt{n})$  and works on any pair of connected shapes of the same order. This work opens a number of interesting problems and research directions. An immediate next goal is whether it is possible to develop an  $O(n \log n)$ -time universal connectivity-preserving transformation. There are also a number of interesting variants of the present model. One is a centralised parallel version in which more than one line can be moved concurrently in a single time-step. Another, is a distributed version of the parallel model, in which the nodes operate autonomously through local control and under limited information.

Further, we establish  $\Omega(n \log n)$  lower bounds for two restricted sets of transformations, which have been shown in our full report [41]. For example, it can be shown that any such transformation has a labelled tree representation, and by restricting the consideration to the sub-set of those transformations in which every leaf-to-root path has length at most 2, this captures transformations in which every node must reach its final destination through at most 1 meeting-hop and at most 2 hops in total. Interestingly, by disregarding the

fact that our initial and target instances have specific geometric arrangements, it is known that computing a 2-HOPS MST in the Euclidean 2-dimensional space is a hard optimisation problem and the best known result is a PTAS by Arora *et al.* [42] (cf. also [43]). Our second lower bound is also  $\Omega(n \log n)$  time, for an alternative set of one-way transformations in which all nodes move towards only a one direction and do not spilt once they combined during the transformation.

These are the first lower bounds, under restrictions, for this model and are matching the best known  $O(n \log n)$  upper bounds. If true, the existence of lower bound above linear is not known, then a natural question is whether a universal transformation can be achieved in  $o(n \log n)$ -time (even when connectivity can be broken) or whether there exists a general  $\Omega(n \log n)$ -time matching lower bound. As a first step, it might be easier to develop lower bounds for the connectivity-preserving case.

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