#### Recap

- String matching problem.
- Brute force solution
- Good points / Bad points about it.
- Better solution? Try using automata.

Today:

- Brute-force implementation.
- How do we use automata? String-matching automaton.

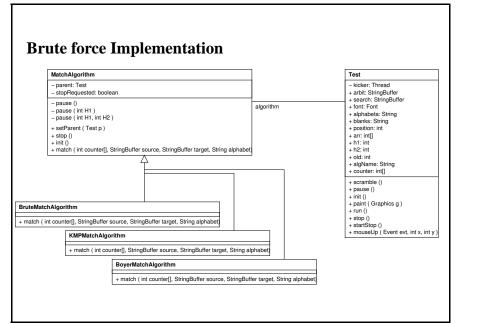
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• Examples + analysis.

#### class BruteMatchAlgorithm extends MatchAlgorithm{

```
void match(int counter[],
                              // ??
       StringBuffer T,
                              // text
       StringBuffer P,
                              // pattern
       String alphabet
                              // not used by BruteMatch
       ) throws Exception{
counter[0] = 0;
int n = T.length(); // length of the input text
int m = P.length(); // length of the pattern
// finding all the matchings of P in T
for (int s = 0; s <= n - m; s++) {
    if(stopRequested)
        return;
    counter[1] = 0;
    // checking equality of P and T[s + 0..s + m - 1]
    for ( int j = 0 ; j < m ; j++ )
        if ((T.toString()).charAt(s + j) != (P.toString()).charAt(j))
            counter[1] = 1;
    counter[0] = (s*6) \%900;
    pause(1,1);
    counter[1]=0;
```

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#### String-matching automaton, Example

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Let's consider again the pattern  $P \equiv abc$ , and let's define the string-matching automaton in this case (for simplicity let's assume the alphabet  $S = \{a, b, c\}$ ).

- $Q = \{0, 1, 2, 3\}$ , the automaton will have four states.
- The initial state is (always)  $q_0 = 0$ .
- The only accepting state is (always) m.

#### **Transition function**

The only step that needs some care is the definition of the transition function. We can represent it as a table with rows indexed by characters and columns indexed by states.

Let's start with  $\delta(0,\mathbf{a})$ . By definition of  $\sigma_P$  this is "the length of the longest prefix of P that is a suffix of  $P_0\mathbf{a}(\equiv \mathbf{a})$ ". Therefore  $\delta(0,\mathbf{a}) = 1$ . Next comes  $\delta(1,\mathbf{a})$ . This is "the length of the longest prefix of P that is a suffix of  $P_1\mathbf{a}(\equiv \mathbf{a}\mathbf{a})$ ". Again  $\delta(1,\mathbf{a}) = 1$ . Iterating this process one can get  $\delta$ 's full definition (reported in the following table).

	0	1	2	3	_
а	1	1	1	1	-
b	0	2	0	0	
С	0	0	3	0	

### Time complexity analysis ... cheating!

- The simple loop structure of FINITE-AUTOMATON-MATCHING implies that its running time is O(|T|).
- However, this does not include the time to compute the transition function *δ*: we will look at this later!
- Correctness? Not easy, brace yourself! Let's start by understanding what correctness means.

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# Simulation Let T = aababcabcbb. T a a b

_	1	a	a	b	a	b	C	a	b	C	D	b	
	q	0	1	1	2	1	2	3	1	2	3	0	0
	output							3			6		

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#### Main Result

For each  $i \leq n$ , the value of q after the *i*th iteration of the main for loop in FINITE-AUTOMATON-MATCHING is  $\sigma_P(T_i)$ , i.e. the length of the longest prefix of the pattern P that is a suffix of  $T_i$ .

By definition of  $\sigma_P$ ,  $\sigma_P(T_i) = m$  if and only if P is a suffix of  $T_i$ , i.e. a matching has just occurred, therefore the result "says" that the process returns all the valid shifts of the given pattern.

#### Suffix-function inequality

 $\sigma(xa) \leq \sigma(x) + 1$ , for any string x and character a.

**Case 1.** If  $\sigma(xa) = 0$ , then the result trivially holds, because  $\sigma$  is a positive function.

#### Case 2. Otherwise,

 $P_{\sigma(xa)}$  is a suffix of xa, by definition of  $\sigma$ .

Furthermore  $P_{\sigma(xa)-1}$  must be a suffix of x (we just drop the end of both strings).

But then  $\sigma(x)$  is the largest k such that  $P_k$  is a suffix of x, then  $\sigma(xa) - 1$  must be at most  $\sigma(x)$ .

#### **Proof of Main Result**

By induction on *i*. If i = 0, then  $T_0 = \varepsilon$  and the theorem holds. Else we assume  $\sigma(T_i)$  is the value of *q* after the *i*th iteration and prove that *q* will be set to  $\sigma(T_{i+1})$  the next time around.

To simplify notations let  $q = \sigma(T_i)$  and a = T[i+1].

The next value of q will be  $\delta(q, a)$  (just look at the code!).

By definition of  $\delta$  the value above is equal to  $\sigma(P_q a)$ , which is  $\sigma(P_{\sigma(T_i)}a)$  by definition of q.

Now we use the recursion lemma,

$$\sigma(P_{\sigma(T_i)}a) = \sigma(T_ia)$$

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and we are done (!) since  $T_i a = T_{i+1}$ .

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#### **Suffix-function recursion lemma**

 $\sigma(xa) = \sigma(P_{\sigma(x)}a)$ , for any string x and character a.

To prove this one shows that

$$\sigma(xa) \leq \sigma(P_{\sigma(x)}a) \text{ and } \sigma(xa) \geq \sigma(P_{\sigma(x)}a).$$

1.  $P_{\sigma(x)}$  is a suffix of x (by definition of  $\sigma$ ).

- 2.  $P_{\sigma(x)}a$  is a suffix of xa (just add the same character to both strings),
- 3. ... and, obviously, so is  $P_{\sigma(xa)}$ !
- 4. We thus have two suffixes of xa,

 $P_{\sigma(xa)}$  and  $P_{\sigma(x)}a$ and, by the previous Lemma  $\sigma(xa) \leq \sigma(x) + 1 = |P_{\sigma(x)}a|$ . Hence it must be that  $P_{\sigma(xa)}$  is a suffix of  $P_{\sigma(x)}a$ .

5. Therefore  $\sigma(xa) \leq \sigma(P_{\sigma(x)}a)$ .

The opposite inequality is proved similarly (see Cormen page 920).

# Computing the transition function

The following procedure computes the transition function  $\delta$  from a given

pattern P and alphabet A.

 $\begin{array}{l} \text{COMPUTE-TRANSITION-FUNCTION } (P, \mathcal{A}) \\ m \leftarrow \text{length}(P) \\ \textbf{for } q \leftarrow 0 \textbf{ to } m \\ \textbf{for each } a \in \mathcal{A} \\ k \leftarrow \min(m+1, q+2) \\ \textbf{repeat } k \leftarrow k-1 \textbf{ until } P_k \text{ is a suffix of } P_q a \\ \delta(q, a) \leftarrow k \end{array}$ 

The running time is  $O(m^3|\Sigma|)$  ... why?

Complexity improvable to  $\Theta(m|\Sigma|)$ , which is best possible.

## The picture so far

- Defined the String Matching problem.
- Defined, implemented and seen examples of the brute-force algorithm. Time complexity Θ((n - m)m).
- Defined and seen examples of an alternative approach based on automata theory. Time complexity  $O(n + m|\Sigma|)$ .

So? Can we actually solve pattern matching in linear time?

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